+ PTO/SB/21 (6-99) type a plus sign (+) inside this box Π Approved for use through 09/30/2000. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 4925, no person required to respond to a collection of information unless it displays a valid OMB control number. 10/015,499 Application Number December 11, 2001 Filing Date TRANSMITTAL Kevin P. Baker **FORM** First Named Inventor Group/Art Unit 1647 (to be used for all correspondence after initial filing) Hayes, Robert Clinton **Examiner Name** 39780-2830 P1C42 Attorney Docket Number Total Number of Pages in This Submission ENCLOSURES (check all that apply) Copy of an Assignment After Allowance Communication to Fee Transmittal Form Group Appeal Communication to Board of Drawing(s) Fee Attached Appeals and Interferences Appeal Communication to Group SUPPLEMENTAL PRELIMINARY Licensing-related Papers (Appeal Notice, Brief, Reply Brief) **AMENDMENT** Petition Routing Slip (PTO/SB/69) Proprietary Information and Accompanying Petition After Final Petition to Convert to a Status Letter Version With Markings Showing Provisional Application Changes Power of Attorney, by Assignee to ADDITIONAL ENCLOSURE(S) Exclusion of Inventor Under 37 C.F.R. Affidavits/declaration(s) (PLEASE IDENTIFY BELOW): §3.71 With Revocation of Prior Powers STAMPED RETURN POSTCARD Extension of Time Request Terminal Disclaimer Information Disclosure Statement Small Entity Statement Certified Copy of Priority Document(s) Request for Refund Response to Missing Parts/ Incomplete . Remarks Application AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT <u>08</u>-164<u>1</u> FOR ANY FEES DUE IN Response to Missing CONNECTION WITH THIS PAPER, REFERENCING ATTORNEY'S DOCKET NO. 39780-2830 Parts under 37 CFR 1.52 or 1.53 P1C42. Copy of Notice SIGNATURE OF APPLICANT, ATTORNEY OR AGENT ANNA L. BARRY (Reg. No. 51,436) Firm or **HELLER EHRMAN LLP** 275 Middlefield Road, Menlo Park, California 94025 Telephone: (650) 324-7000 Facsimile: (650) 324-0638 Individual name Signature 35489 Customer Number: Date **OCTOBER 3. 2006** CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on this date: OCTOBER 3, 2006 Express Mail Label EV 582 634 880 US L. ACOŞTA Typed or printed name **OCTOBER 3, 2006** Date Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE OCT 0 3 2006 In re application of: Examiner: Hayes, Robert Clinton Art Unit: 1647 Application Serial No. 10/015,499 Filed: December 11, 2001 For: PRO1788 POLYPEPTIDES Customer No. 35489 Confirmation No. 35489

EXPRESS MAIL LABEL NO. <u>EV 582 634 880 US</u> DATE MAILED: OCTOBER 3, 2006

SUPPLEMENTAL PRELIMINARY AMENDMENT

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

On May 10, 2005, the Examiner made a final rejection to pending Claims 28-35 and 38-40. A Notice of Appeal was filed on October 11, 2005, and an Appeal Brief was filed on January 11, 2006. A Notification of Non-Compliant Appeal Brief was mailed April 11, 2006. In response to the Notification of Non-Compliant Appeal Brief mailed April 11, 2006 a Request for Continued Examination and a Preliminary Amendment were filed on August 11, 2006.

Applicants submit herewith a Supplemental Preliminary Amendment to the Preliminary Amendment filed on August 11, 2006 for the above identified application.

Remarks/Arguments begin on page 2 of this paper.